



PRIVACY POLICY

MARCH 2022

OnPlane Financial Advisors LLC recognizes our relationships with current and prospective clients are based on integrity and trust. We work hard to maintain your privacy and to preserve the private nature of our relationship with you. We place the highest value on the information you share with us. OnPlane Financial Advisors LLC will not disclose your personal information to anyone unless it is required by law or at your direction. We will not sell your personal information. OnPlane Financial Advisors LLC will provide the privacy statement to all clients annually.

We want our clients to understand what information we collect, how we use it, and how we protect your personal information.

“Personally Identifiable Information” refers to any information that identifies or can be used to identify, contact, or locate the person to whom such information pertains, including, but not limited to, name, address, phone number, fax number, email address, financial profiles, Social Security Number or driver’s license information.

Why We Collect Your Information? We gather information about you so we can help design and implement the investment and planning related services we provide you. In addition, we use your information to comply with the Federal and State laws and regulations that govern us.

What Information Do We Disclose? We are permitted by law to disclose nonpublic information about you to unaffiliated third parties in certain circumstances. For example, in order for us to provide planning or investment management services to you, we may disclose your personal information in limited circumstances to various service providers, such as our clearing firm or independent contractors hired by OnPlane Financial Advisors LLC.

Otherwise, OnPlane Financial Advisors LLC will not disclose any personal information about you or your account(s) unless one of the following conditions is met:

- We receive your prior written consent; or
- We have documentation that the recipient is your authorized representative; or
- We are required by law to disclose information to the recipient

Arrangements with companies or independent contractors not affiliated with OnPlane Financial Advisors LLC will be subject to confidentiality agreements.



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“Opting-Out”: If you do not want your Financial Planner/Financial Adviser to retain copies of your client sensitive information, you may contact us by calling 703.856.7979. To the extent that you provide OnPlane Financial Advisors LLC with your email address, it will be added to OnPlane Financial Advisors’ electronic newsletter mailing list. You may opt out at any time by calling OnPlane Financial Advisors at 703.856.7979 or clicking at any time the “unsubscribe” link on the electronic newsletter.

How We Protect Your Personal Information? We restrict and limit access to client information only to those who need to carry out their business functions. Personally Identifiable Information is stored in a secure, encrypted cloud-based vault via encrypted devices with additional 256-bit encryption at the file level. We share your Personally Identifiable Information with no outside parties for any reason other than a direct request from you, our Client.

What happens if the Privacy Policy changes? The nature of the Financial Planning Industry calls for very high privacy standards for our Clients’ information. If industry standards change in the future, we will contact our Clients before any changes are made.